



Proven Efficiency.
Superior Protection.
Smarter Risk Management.

Newsletter

August 2013



Greetings from the front lines!

Summer is nearly over, and while I hope you had some time to enjoy it, I have seen firsthand that many of you have been working overtime on meeting regulatory demands and strengthening your firms' compliance protection. RDC has been busy supporting your efforts and helping with our clients challenges. In addition, the RDC innovation engine never takes time off. Our commitment is to continuous innovation has always been focused on a) providing our clients with the best possible

protection, and b) delivering it in the most efficient manner, allowing you to do more with less (as shareholders continue to demand). You have told us that innovations such as the CVIP filter, PEP ratings, daily updates and monitoring and analyst review have helped you meet your critical requirements, and you can be confident that this "protection with performance" thread remains core to the growing portfolio of RDC's products and service.

Behind the scenes, we have focused our resources on the biggest initiative we have ever undertaken. RDC has been investing millions of dollars to build the industry's next generation due diligence solution – transforming GRID, the world's largest risk relevant database, into a more holistic risk view of the individuals and organizations our customers care about. We are harnessing the power of GRID - the largest collections of sanctions, watchlists, PEPs, adverse media and high risk entities - by linking all these elements together. By providing this single view of the individual or entity, RDC will offer our customers a more comprehensive picture of the associated risks. The most effective and efficient solution set in the industry will provide our customers with the next evolution of protection and performance.

We plan to roll out incremental but powerful changes starting later this year. The first step on this evolutionary path is a change to the way we present our GRID records to you. Fundamentally, we will move from an article-driven database to a "riskography" database, wherein each GRID record will have more information about the subject of the article. What this means for you is that when you get a match in RDC, the record will have more data and more complete information about the subject of the article.

Going forward, this will provide more meaningful records of risky individuals and organizations, allowing you to make better and quicker decisions. There is no impact to the coverage you get, the filters we use, the database structure, or the integration with RDC. Clients who want to leverage the additional data will be able to do so.

We are excited about these changes and anticipate that you will be too as you see them rolled out. I know you will have questions about these changes and their impact to your own processes. RDC is kicking off an interactive E-Learning Series to demonstrate the new enhancements, and this week you will receive information on how to register. We will also showcase these new features at the upcoming ACAMS AML & Financial Crime Conference in Las Vegas.

Our DNA in the AML and broader compliance sectors helps us understand the challenges you face, and we are constantly looking to build products that make your job easier. Expect to hear more news from us in the future regarding new product innovations, including significant additions to coverage, new application features, and new partner-driven capabilities. And, as always, don't hesitate to contact me directly with any questions or feedback.

We look forward to a partnership with you on this journey—and thank you for your continued support!

Tom Fogarty, President & COO

IN THE NEWS

CEO Tom Obermaier will address the ACAMS 12th Annual AML & Financial Crime Conference at the Aria in Las Vegas. Tom Obermaier is invited to speak at the session "Adopting New Strategies for Managing Risk in Emerging and Digital Payment Technologies." RDC is the Gold Sponsor for this event. For more information, check out www.acams.org.

RDC to launch new AML Retail solution.

A cost-effective and efficient due diligence solution for high volume retail portfolios

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PRODUCT Updates

PEP Connect™ As announced recently, RDC introduced PEP ratings and filtering to the PEP Connect product, allowing customers to filter PEPs based on level, type, country, or rating, as well as prioritize the PEP matches based on rating, letting you review the riskiest matches first. Please reach out to our customer service group or to your account representative to understand how to leverage this capability.

RDC AML Retail™ Over the next few weeks, we will be launching our AML Retail solution, designed to support the specific due diligence needs of our clients with large retail portfolios with very specific screening and monitoring requirements. Stay tuned for more announcements on this exciting new product.



New Lists in GRID™ In our ongoing effort to provide better coverage, RDC has recently added several new data lists to our database:

- Treasury Dept. FinCEN Advisory Notice List on North Korea
- FL State Board of Admin. "Scrutinized Companies"
- SEC IranNotice Filings
- South Africa National Treasury Restricted Suppliers List

Please reach out to customer service if you are interested in understanding these lists in more detail.

Webinars & Training

Sept 2013

21st Century PEPS,
A Risk-Based Approach

Sept 2013

RDC's E-Learning Series:
RiskConnect™ Training

Oct 2013

RDC's E-Learning Series:
RDC Search™ , RDC
Review™ and Client Review™ Training



Check your inbox for additional information or contact us at webinars@rdc.com.

RDC—At Your SERVICE

Our Operations team at RDC brings more than 120 years of combined experience in due diligence and customer support. We recognize the power of our diverse employee base and service offerings to greatly enhance our ability to provide the effective and innovative solutions that solve our customers' toughest challenges. RDC is committed to employing, developing and retaining a diverse workforce representative of our diverse customers and communities.



Teamwork – Our due diligence team provides top-quality results quickly and efficiently, reducing our customers' workload to save time and money. Our highly skilled group of analysts receive ongoing training and are ACAMS trained to ensure our clients are getting the best results in the industry.

RDC's Implementation team makes sure client onboarding is seamless and clients are comfortable with account settings before getting started. With over 15 years of experience in Project Management, our lead Project Manager ensures new clients receive superior service and have all details taken care of from the start.

Customer Support – Once clients are in production, our Customer Service team provides top-notch service and support with a professional approach, as the first point of contact for our customers. When the team isn't working directly with our customers, they work closely with product development to improve our applications based on customer suggestions. Our customers always come first! In addition to handling customer inquiries, the Customer Support team also takes the lead in product training for new clients.

Online Learning – Visit our website where you can view the latest product enhancements, learn best practices for searching GRID and watch video tutorials. To access our customer portal, go to www.rdc.com and click "Client Log-in" along the top navigation bar.

RDC E-Learning Series – Don't forget to register for our interactive refresher training sessions with our Customer Support team. Learn how to get the best results, manage your portfolio, reduce processing time and use new features. To register, please send an email to support@rdc.com.



COMPANY NEWSFLASH



RDC and Dun & Bradstreet Announces New Product to Speed up Onboarding of New Customers. D&B in the UK has launched D&B Onboard™, the new online tool to help companies deliver Customer Due Diligence requirements efficiently in one place, making it easier for companies to process financial transactions and enable businesses to bill customers more quickly while minimizing regulatory and reputational risks. D&B Onboard builds on the capabilities of D&B Compliance Check that was launched in North America earlier this year. D&B is leveraging its existing partnership with RDC to offer the best-in-class global screening for anti-corruption and anti-money laundering—reducing reputational risk for companies.

Thought Leadership. RDC continues to be the frontrunner in providing you with exclusive insider knowledge and insight into best practices. Our keynote speakers are visionaries, experts, and trend-setters. **Kevin Ford**, General Council at RDC, recently spoke at the Concur Fusion conference on “Managing Anti-Bribery & Corruption Compliance.” Concur is the leading provider of integrated travel and expense (T&E) management services.

Among many regional and national conferences, RDC partnered with MetricStream, a leader in enterprise-wide governance, risk, compliance (GRC) and quality management solutions, for a panel discussion on “Effective Third party Due Diligence: A Critical Success Factor” and how to efficiently manage regulatory, reputational and enforcement risks. **Kevin Ford and Anurag Mathur**, RDC’s Chief Product Officer, participated in this very well attended webinar.

See us at ACAMS. RDC will be exhibiting its products and services at Booth #408 at the 12th Annual AML & Financial Crime Conference at the Aria in Las Vegas. **CEO Tom Obermaier**, will address the conference on Monday, September 23rd at the Compliance Session “Adopting New Strategies for Managing Risk in Emerging and Digital Payment Technologies.” RDC is also the Gold Sponsor for the event.

Upcoming Events

- **Sept 23—25**, ACAMS 12th Annual AML & Financial Crime Conference at the Aria in Las Vegas, NV. RDC is gold sponsor and speaker.
- **Nov 17—19**, ABA/ABA Money Laundering Enforcement Conference, Washington, DC.



For a complete listing, visit our website www.rdc.com/resources/events.

**The rdc
Difference**

Learn more about RDC’s
decision-ready intelligence

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